



Rec'd PCT/PTO 10 MAY 2005
PGI GB2003/004886
10/534453

INVESTOR IN PEOPLE

PRIORITY DOCUMENT
SUBMITTED OR TRANSMITTED IN
COMPLIANCE WITH
RULE 17.1(a) OR (b)

The Patent Office
Concept House
Cardiff Road
Newport
South Wales
NP10 8QQ

REC'D 29 DEC 2003

I, the undersigned, being an officer duly authorised in accordance with Section 74(1) and (4) of the Deregulation & Contracting Out Act 1994, to sign and issue certificates on behalf of the Comptroller-General, hereby certify that annexed hereto is a true copy of the documents as originally filed in connection with the patent application identified therein.

In accordance with the Patents (Companies Re-registration) Rules 1982, if a company named in this certificate and any accompanying documents has re-registered under the Companies Act 1980 with the same name as that with which it was registered immediately before re-registration save for the substitution as, or inclusion as, the last part of the name of the words "public limited company" or their equivalents in Welsh, references to the name of the company in this certificate and any accompanying documents shall be treated as references to the name with which it is so re-registered.

In accordance with the rules, the words "public limited company" may be replaced by p.l.c., plc, P.L.C. or PLC.

Re-registration under the Companies Act does not constitute a new legal entity but merely subjects the company to certain additional company law rules.

Signed 
Dated 5 December 2003

BEST AVAILABLE COPY

Patents Form 1/77

Patents Act 1977
(Rule 16)The
Patent
Office11 E762556-2 D01891
P01/1/00 0.00-0226240.0

Request for grant of a patent

(See the notes on the back of this form. You can also get an explanatory leaflet from the Patent Office to help you fill in this form)

THE PATENT OFFICE

NOV 2002

RECEIVED BY FAX

The Patent Office

Cardiff Road
Newport
Gwent NP9 1RH

1. Your reference

P1342

2. Patent application number

(The Patent Office will fill in this part)

0226240.0

11 NOV 2002

3. Full name, address and postcode of the or of each applicant (underline all surnames)

THE SECRETARY OF STATE FOR DEFENCE
DSTL
Porton Down
Salisbury, Wiltshire. SP4 0JQ.

Patents ADP number (if you know it)

6997670005

If the applicant is a corporate body, give the country/state of its incorporation

GB

4. Title of the invention

An Electrostatic Precipitator

5. Name of your agent (if you have one)

Beckham Robert William

"Address for service" in the United Kingdom to which all correspondence should be sent (including the postcode)

D/IPR Formalities Section
Poplar 2
MoD Abbey Wood #2218
Bristol
BS34 8JH
U.K.

Patents ADP number (if you know it)

8401192001

6. If you are declaring priority from one or more earlier patent applications, give the country and the date of filing of the or of each of these earlier applications and (if you know it) the or each application number

Country

Priority application number
(if you know it)Date of filing
(day / month / year)

7. If this application is divided or otherwise derived from an earlier UK application, give the number and the filing date of the earlier application

Number of earlier application

Date of filing
(day / month / year)

8. Is a statement of inventorship and of right to grant of a patent required in support of this request? (Answer 'Yes' if:

Yes

- a) any applicant named in part 3 is not an inventor, or
- b) there is an inventor who is not named as an applicant, or
- c) any named applicant is a corporate body. See note (d))

Patents Form 1/77

Patents Form 1/77

9. Enter the number of sheets for any of the following items you are filing with this form. Do not count copies of the same document

Continuation sheets of this form

Description 12 ✓

Claim(s) 3 ✓

Abstract 1 ✓

Drawing(s) 5 only Jane

10. If you are also filing any of the following, state how many against each item.

Priority documents

Translations of priority documents

Statement of inventorship and right to grant of a patent (Patents Form 7/77)

Request for preliminary examination Yes (1) ✓
and search (Patents Form 9/77)Request for substantive examination
(Patents Form 10/77)Any other documents
(please specify)

11. I / We request the grant of a patent on the basis of this application.

Signature

Mr JC Robb

Date 11/11/02

12. Name and daytime telephone number of person to contact in the United Kingdom

Mrs JM Northcott 0117 91 32860

Warning

After an application for a patent has been filed, the Comptroller of the Patent Office will consider whether publication or communication of the invention should be prohibited or restricted under Section 22 of the Patents Act 1977. You will be informed if it is necessary to prohibit or restrict your invention in this way. Furthermore, if you live in the United Kingdom, Section 23 of the Patents Act 1977 stops you from applying for a patent abroad without first getting written permission from the Patent Office unless an application has been filed at least 6 weeks beforehand in the United Kingdom for a patent of the same invention and either no direction prohibiting publication or communication has been given, or any such direction has been revoked.

Notes

- If you need help to fill in this form or have any questions, please contact the Patent Office on 0645 500505.
- Write your answers in capital letters using black ink or you may type them.
- If there is not enough space for all the relevant details on any part of this form, please continue on a separate sheet of paper and write "see continuation sheet" in the relevant part(s). Any continuation sheet should be attached to this form.
- If you have attached 'Yes' Patents Form 7/77 will need to be filed.
- Once you have filled in the form you must remember to sign and date it.
- For details of the fee and ways to pay please contact the Patent Office.

DUPLICATE

1

AN ELECTROSTATIC PRECIPITATOR

The present invention is concerned with an electrostatic precipitator. The present invention is particularly, although not exclusively, directed to an electrostatic precipitator suitable for the collection and analysis of an airborne suspension of particles, including micro-organisms, in an environment.

The analysis of airborne particles in an environment generally requires sampling large volumes of air. Current collection techniques often rely on the acceleration of air to very high velocities in order to utilise the differential momentum between particles and air to impact the particles to a collection surface or liquid. Such impaction techniques, however, generally require a very high energy input and are limited in their ability to separate small particles (below 1 μm).

The collection of particles at an electrode surface in an electrostatic field is a well-known phenomenon underlying the use of electrostatic precipitators to separate dust and smoke particles from an environment. In a simple form of electrostatic precipitator, a high voltage is applied to a wire on a longitudinal axis of an earthed cylinder through which an air flow is maintained. A corona discharge is formed at the wire and ions having the same polarity as the wire are repelled toward the inner surface of the cylinder. The electric field between the wire and the cylinder is, however, distorted by the relative permittivity of the particles compared to the surrounding air and this leads to an aggregation of ions at the particles, which continues until the field distortion is balanced by the charge on the particle. The charged particles experience a force in the electric

field carrying them to the earthed cylinder where they adhere. The particles may be dislodged from the surface of the cylinder by vibration or by subsequent passage of a collecting fluid.

- 5 Electrostatic precipitators of the prior art are, however, mostly concerned with scrubbing of effluent gases on an industrial scale and so little attention has been paid to their application to the problem of optimum sampling of particles from an airborne environment. Indeed, most electrostatic precipitators are unsuitable for the efficient collection of particles from an environment, even when miniaturised, in that the
- 10 collecting surface of the cylinder is relatively large and consequently only dilute particle samples can be practically obtained.

- One approach to the problem of efficient collection of particles from an environment minimises the amount of collecting fluid used in a miniaturised electrostatic precipitator
- 15 (InnovaTek, USA). The precipitator comprises a number of collecting plates having micro-machined channels to which the particles, charged by the release of electrons to the air flow, are preferentially deposited. The particles are collected by the passage of the collecting fluid within the channels.

- 20 An alternative approach, developed by Applicant, attempts to tightly focus particles charged by corona discharge field to a point surface. In this arrangement, the earthed electrode comprises a ring electrode allowing charged particles to emerge with the air flow. The charged particles enter an additional electric field provided by an electrode

configuration, known to the art as an electrostatic lens. In one configuration of electrostatic lens a number of electrode rings, of identical polarity to the particles, are concentrically arranged above an earthed, pin counter electrode so as to provide an electric field constraining the particles to the counter electrode.

5

The arrangement is, however, unsatisfactory in that, even when particle size is increased by condensation, the extent of charge developed on the particles at desired airflows is non-uniform. Further, the strength of the focussing field acting on the particles at desired operating voltages and air flow rates often either fails to overcome drag effects or
10 alternatively arrests the emergence of the particles from the charging field. Consequently, even where the number of focussing rings has been optimised, the arrangement allows the focussing of only a proportion of particles passing the earthed counter electrode.

15 The present invention generally seeks to allow for the efficient collection and analysis of particles in an environment by providing an improved electrostatic precipitator which is capable of efficiently focussing particles to a point surface.

The present invention starts from the realisation that non-uniform charging of particles in
20 an electrostatic field generated by a corona discharge is due to a concentration gradient of ions developed between the point electrode and the counter electrode. Consequently, particles adjacent the counter electrode, are less likely to develop charge than particles adjacent the point electrode. An arrangement providing an electrostatic field in which the

ion concentration gradient is removed or reversed may therefore be expected to allow improved focussing of the particles.

Accordingly, the present invention provides an electrostatic precipitator comprising a
5 conduit for the passage of an air flow containing particles and means generating an electrostatic field, substantially orthogonal to the airflow, and a supply of ions, capable of charging the particles, in which the generating means comprise a point electrode and a two dimensional surface electrode characterised in that the two dimensional surface electrode comprises an ion source and the point electrode comprises a counter electrode.

10

It will be understood that, in the arrangement of the present invention, ion transport from the ion source is directed to a point electrode and that consequently the concentration gradient of ions between the two dimensional surface electrode and point electrode is reduced compared to the prior art arrangement.

15

In a preferred embodiment of the present invention, the two dimensional surface electrode comprises an ion source known to the art as a plasma charger. In a typical charger, the electrode comprises a strip of insulating material sandwiched between two strips of conducting materials, one of which is of substantially lower surface area than the
20 insulating strip. An alternating potential difference applied to the charger generates an emission of ions from the insulating strip at or adjacent the contacting edge of the smaller conducting strip.

5

Preferably, the length of the contacting edge is maximised so as to provide the greatest possible concentration of ions over the greatest extent of the conduit means. In a particularly preferred arrangement, the contacting edge of the smaller conducting strip is maximised by the adoption of a castellated configuration.

5

The plasma electrode may also be configured as a single electrode or as a plurality of single polarity electrodes. In some embodiments of the present invention the electrode comprises a plurality of electrodes.

10 However, in a preferred embodiment, the two dimensional surface electrode comprises a single hollow cylinder formed from the castellated plasma electrode, which may be conveniently arranged within a cylindrical conduit of substantially similar cross sectional area. In this embodiment an electrostatic field can be maintained across a substantial area and length of the conduit means.

15

It will be understood that the term "point electrode" is not necessarily limited by any need to discharge ions. Rather the term is used to convey the meaning that the counter electrode provides a low surface area in comparison to the two dimensional surface electrode. The point electrode may, for example comprise a wire or a non-tapering rod or
20 cylinder. Preferably, however, the point electrode comprises a pin.

In a preferred embodiment of the present invention the point electrode is co-axially mounted with the conduit. However, other arrangements, in which the longitudinal axis of the electrode is offset from the longitudinal axis of rotation of the conduit are possible. Preferably, the point electrode is an earthed electrode. However, in some embodiments
5 the point electrode carries a charge of opposite polarity to the two dimensional surface electrode and the charge developed on the particles.

It will be understood that the charging of particles in the electrostatic field and the force acting on the charged particles will be determined by a number of variables, including the
10 voltage applied to the electrodes and the rate of airflow. These parameters are selected so as to maximise the charge developed on the particles.

Preferably, the particles are charged to their Pauthenier limit. Still more preferably, the airflow is substantially free from turbulence and drag effects acting on particles moving
15 across the electrostatic field are minimised. It will therefore be apparent that proper selection of these parameters can enable a force acting on the charged particles to overcome drag effects and deflect the particles toward the point electrode.

The present invention thus provides an electrostatic precipitator in which the electrostatic
20 and ion field not only leads to more uniform particle charging but also focuses the charged particles toward the counter electrode.

The particles deposited on the counter electrode may be collected by any convenient means. In one embodiment of the present invention, the counter electrode includes means for delivering a fluid to the surface of the electrode. Preferably, the delivery means comprise an interior channel enabling particles to be collected by the progress of
5 the fluid over the exterior surface of the electrode under gravity.

In some embodiments of the present invention, the precipitator comprises second means for generating an electrostatic field. In these embodiments the second electrostatic field is a focussing field provided downstream from the first field.

10

In one embodiment, the second generating means comprise a single point electrode which is co-axially mounted with the conduit at a position downstream from the first point electrode. Preferably, the second point electrode is an earthed electrode.

15 In another embodiment, the second generating means further comprise an electrode of suitable polarity to deflect the charged particles to the second point electrode. The electrode may be adapted as a plurality of single polarity electrodes. Preferably, however, the second generating means comprise a ring electrode co-axially mounted with the conduit.

20

In a further embodiment of the present invention, the second generating means comprise a plurality of ring electrodes of single polarity, each co-axially mounted with the conduit. Preferably, two ring electrodes are used. Still more preferably, the ring electrodes are of

differing cross sectional area with the smallest ring being arranged furthest from the first point electrode.

Particles deposited on the second point electrode may be collected by any convenient
5 means and in particular by means previously described for the counter electrode.

The present invention provides an improved electrostatic precipitator in which particles may be collected from an electrode of substantially reduced surface area. The main advantage of the invention is that by ensuring uniform charging substantially all particles
10 can be collected to a small liquid volume so enabling rapid sampling and analysis of particles in an airborne environment.

Another advantage of the invention is that high inputs of air may be analysed without the need for rapid acceleration and so low operating powers are used. Further the precipitator
15 may be portable.

The present invention will now be described with reference to a number of embodiments and the accompanying drawings in which

Figure 1 is a schematic illustration of the electrostatic field between a point
20 electrode and a plane surface counter electrode;

Figures 2 a) and 2 b) show a plan view of a precipitator of a prior art precipitator comprising a point electrode and a cylindrical counter electrode. The resultant field lines and equipotentials are shown.

Figures 3 a) and 3 b) show a plan view of a preferred embodiment of the precipitator of the present invention. The resultant field lines and equipotentials are shown.

Figure 4 is a cross sectional elevation view of the embodiment of Figure 3;

5 Figure 5 is a cross sectional elevation view of another embodiment of the present invention; and

Figure 6 is a cross sectional elevation view of a further embodiment of the present invention.

10 Having regard now to Figure 1, an electrostatic field produced between a point electrode 11 to which a high voltage has been applied and a plane surface electrode 12 has field lines 13 (broken) that outwardly diverge from the point electrode 11. A potential gradient, indicated by the progress of lines of equipotential 14 (full) from the point electrode 11, results in the transport of ions and particles 15 charged in the field along the
15 electric field lines 13 to the plane surface electrode 12.

Figures 2 a) and 2 b) shows the resultant electric field lines 13 and equipotentials 14 when the plane surface electrode 12 is configured as a cylinder electrode and the point electrode 11 co-axially mounted therewith. The arrangement, which forms the basis of a
20 number of prior art precipitators, results in an electrostatic field in which the electric field lines radially diverge from the point electrode 11. The electrostatic field is associated with a potential gradient that results in the transport of ions and particles 15 charged in the field to the ring electrode.

Referring now to Figure 4, an electrostatic precipitator according to the present invention, generally designated 16, comprises a hollow tube 17 having an inner surface 18 on which a plasma charger electrode 19 is provided. The plasma charger 19 comprises a strip 20 of insulating material sandwiched between two metal plates 21 across which a large
5 alternating potential difference is applied. One plate 21 (shown) is castellated so as to provide a contacting edge with the insulating material 20 that enables the generation ions over a large surface area of the tube 17.

A portion of tube 17 defines apertures for frictional engagement with spokes 22 of a
10 wheel member 23. Wheel member 23 provides a central aperture for frictional engagement with an insulating rod member 24. A portion of the cylindrical rod member 24 is covered with a metal layer 25 and acts as an earthed counter electrode. The rod member 24 is arranged so that the counter electrode 25 is positioned in the tube 17 at a point opposite the plasma electrode 19. A blower 26 is co-axially mounted with tube 17
15 below rod member 24.

Figures 3 a) and b) show the resultant electric field lines 13 and equipotentials 14 the potential difference is applied to the plasma electrode. As may be seen, the electrostatic field is constrained by the size of the counter electrode 25 and is associated with a
20 potential well directed to the counter electrode 25.

In use, air containing uncharged particles 15 is drawn into the tube 17 by the blower 26 where it travels through wheel member 23 into the electrostatic field between the plasma

11

electrode 19 and the counter electrode 25. The airflow and the potential difference applied to the plasma electrode are chosen so that particles 15 entering the field become rapidly charged to their maximum limit enabling the force acting on them to overcome drag effects caused by the airflow. Charged particles 15 are deflected to the counter
5 electrode 25 where they aggregate.

Referring now to Figure 5 a second embodiment of the present invention comprises the features of the preferred embodiment except that rod member 24 extends within the tube 17 to a greater extent. A second portion of the cylindrical rod member 24 is covered with
10 a metal layer 27. The metal layer 27 is earthed and acts as a second counter electrode. In this embodiment a second electrostatic field exists between the plasma electrode 19 and the second counter electrode 27. In use, the airflow is increased so that the charged particles 15 are deflected by the second electrostatic field and are deposited at the second counter electrode 27.

15

It will be understood that the exact positioning of the second counter electrode 27 is of some consequence and is to some extent determined by the strength of each electrostatic field and the rate of airflow.

20 Referring now to Figure 6, a further embodiment of the present invention also comprises the features of the second embodiment. However, the focussing field is now provided by an arrangement comprising the second counter electrode 27 and a number of ring electrodes 28. The arrangement is of a type similar to a known electrostatic lens suitable

for focussing electrons in vacuo. However, arrangements in which multiple ring electrodes 28 are provided are no more effective for focussing particles of significant mass than arrangements involving two ring electrodes 28. Consequently this embodiment provides two ring electrodes 28 of differing size which are co-axially
5 mounted with the tube 17 toward the second counter electrode 27. The smaller ring electrode 28 is mounted closest the counter electrode 27 so as to provide a focussing field for charged particles 15 existing the first electrostatic field. The ring electrodes 28 are charged to the same polarity as the charged particles 15 so that the particles exiting the first electrostatic field and entering the second are deposited on the second counter
10 electrode 27.

The exact positioning of the second counter electrode 27 and the ring electrodes 28, is of some consequence and is to some extent determined by the strength of each electrostatic field and the rate of airflow.

15

The present invention has been described in relation to a number of simple embodiments and it will be apparent that such variations as may be expected within the art are included within its scope. In particular, the focussing field may be formed by a cylindrical and point electrode arrangement. Further, the precipitator may also comprise a control
20 circuitry for controlling its operation. A microprocessor may also be provided so as to enable calculation of the concentration of particles in an environment by calibration of the number of particles obtained at a particular airflow with currents obtained at the counter electrode.

CLAIMS

1. An electrostatic precipitator comprising a conduit for the passage of particles in an air flow and means generating an electrostatic field, substantially orthogonal to the air flow, and an ion supply, capable of charging said particles, in which the generating means comprise a point electrode and a two dimensional surface electrode, characterised in that the plane surface electrode comprises an ion source and the point electrode comprises a counter electrode.
- 10 2. A precipitator according to Claim 1, in which the conduit comprises a hollow cylinder and the two dimensional surface electrode is adapted to cover at least a part of the inner surface thereof.
3. A precipitator according to Claim 1, in which the conduit is a hollow
15 parallelepiped and the two dimensional surface electrode is adapted as a plurality of single polarity electrodes on one or more inner surfaces of the conduit.
4. A precipitator according to any preceding Claim, in which the counter electrode is co-axially mounted with the conduit.
- 20 5. A precipitator according to Claim 5, in which the counter electrode comprises a wire, pin or rod.

14

6. A precipitator according to Claim 6, in which the counter electrode is an earthed electrode.

7. A precipitator according to any of preceding Claim, in which the two dimensional surface electrode comprises a plasma charger.

8. A precipitator according to any preceding Claim, in which the air flow is substantially free from turbulence.

9. A precipitator according to any preceding Claim, comprising second means generating an electrostatic field.

10. A precipitator according to Claim 9, in which the second generating means comprise a second point electrode co-axially mounted with the conduit.

11. A precipitator according to Claim 9, in which the second generating means further comprise a ring electrode or a plurality of single polarity ring electrodes.

12. A precipitator according to Claim 11, in which the second point electrode is an earthed electrode.

13. A precipitator according to any preceding Claim, further comprising means for collecting particles from one or other or both of the point electrodes.

15

14. A precipitator according to Claim 13, in which the collection means comprise a fluid delivery channel.

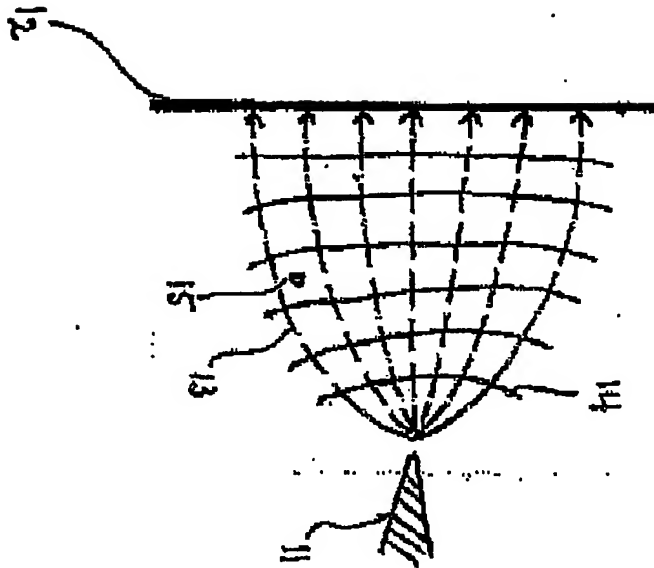
5 15. A precipitator substantially as hereinbefore described with reference to, and as shown in, the accompanying drawings.

ABSTRACT

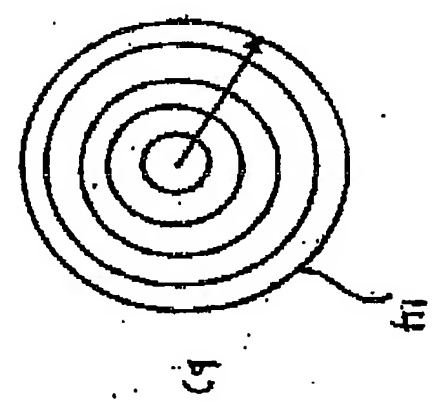
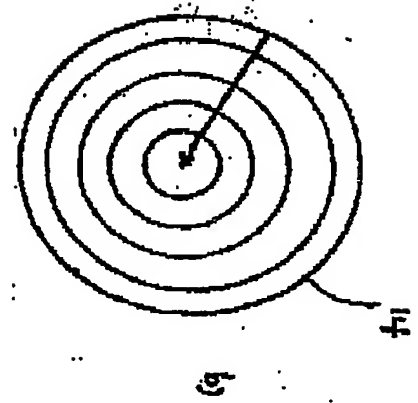
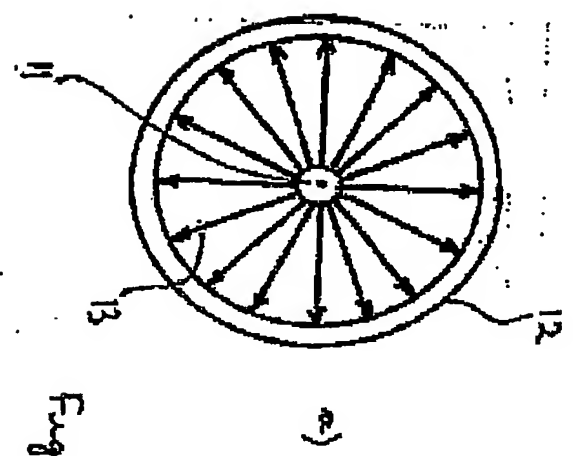
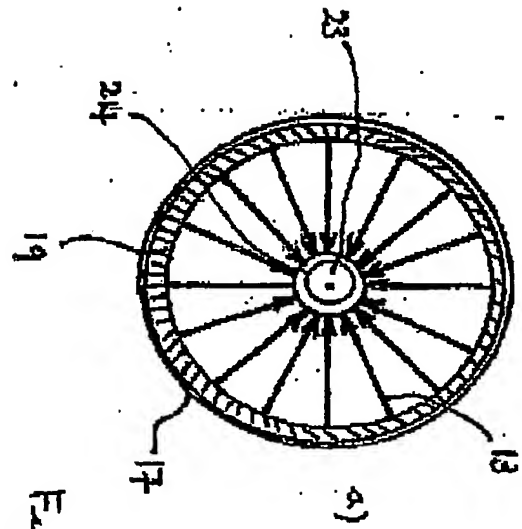
An electrostatic precipitator is disclosed. The precipitator comprises a conduit for the passage of particles in an air flow and means generating an electrostatic field substantially orthogonal to the air flow, and an ion supply, capable of charging said
5 particles, in which the generating means comprise a point electrode and a two dimensional surface electrode, characterised in that the plane surface electrode comprises an ion source and the point electrode comprises a counter electrode.

1/5

Fig. 1



2/5



P.21/23

3/5

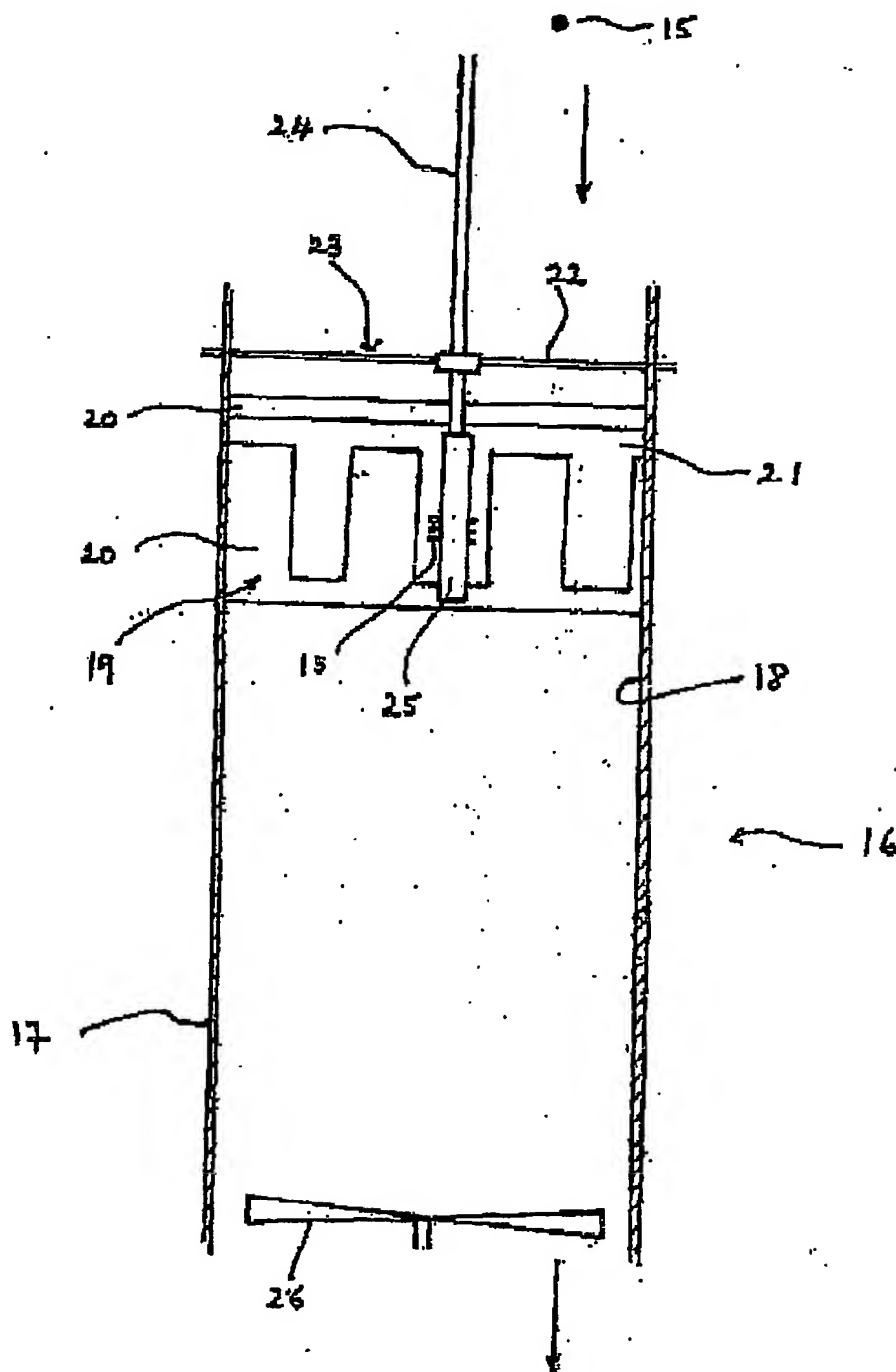


Fig. 4

4/5

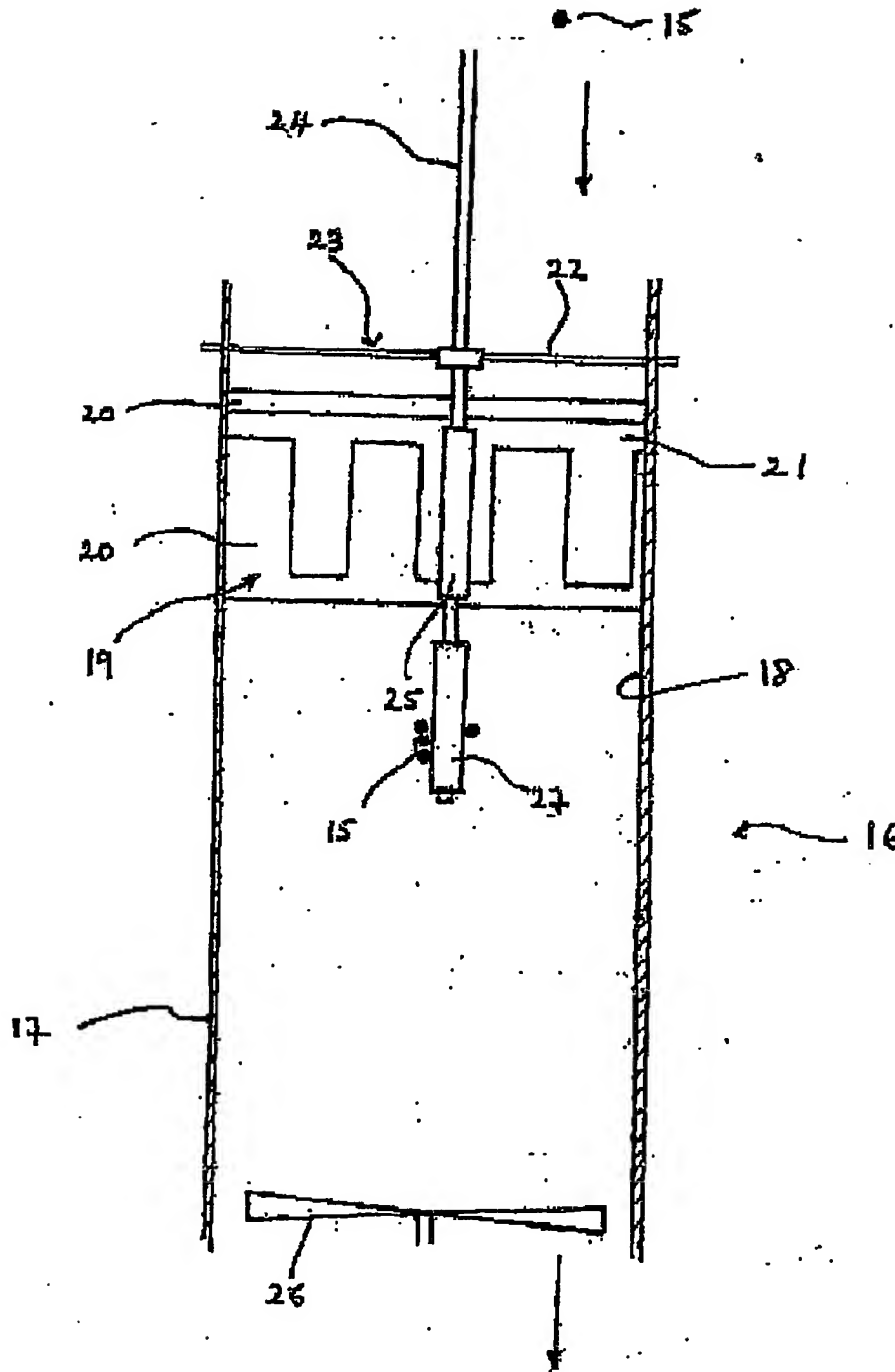


Fig. 5

5/5

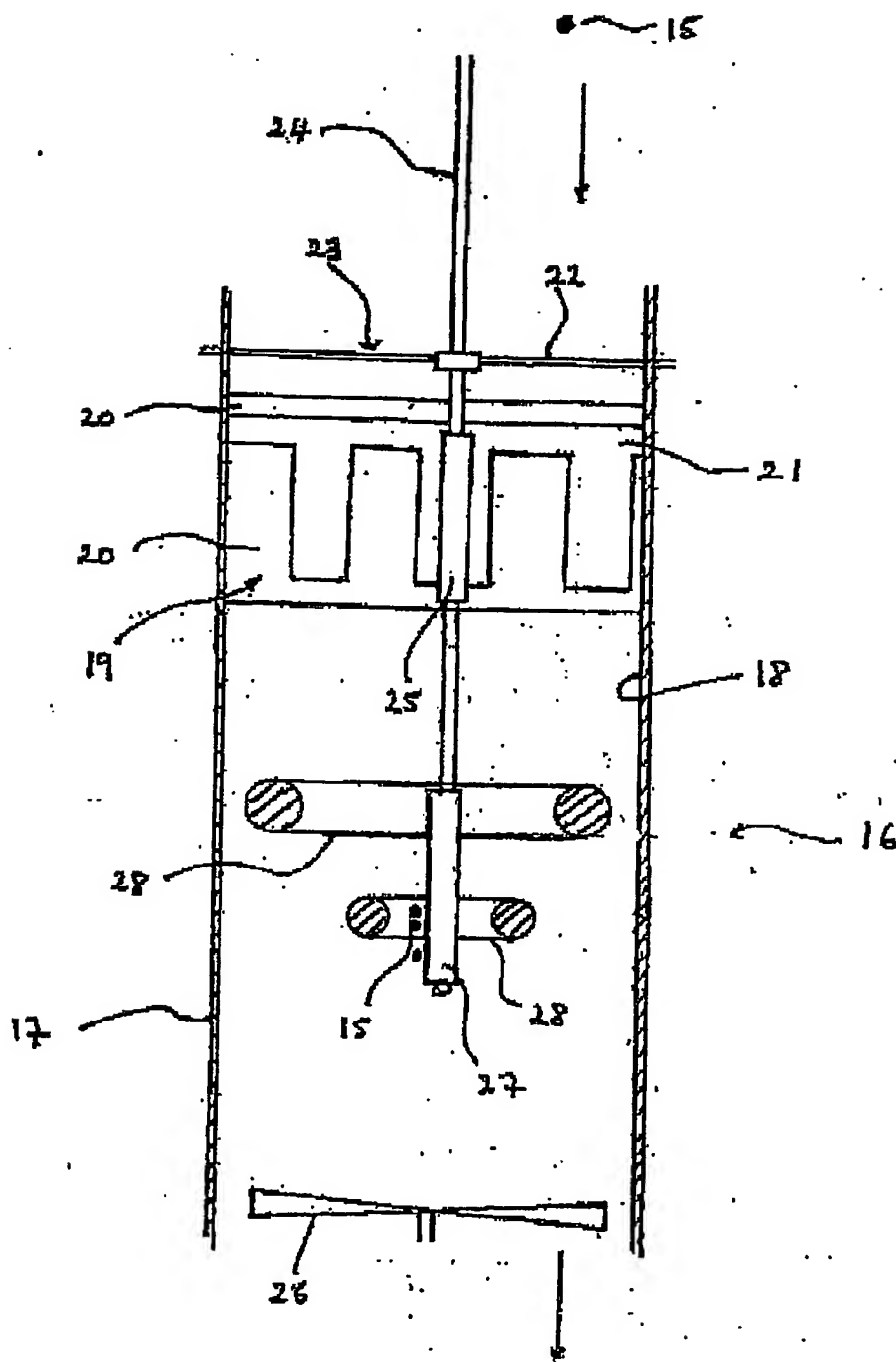


Fig. 6

**This Page is Inserted by IFW Indexing and Scanning
Operations and is not part of the Official Record**

BEST AVAILABLE IMAGES

Defective images within this document are accurate representations of the original documents submitted by the applicant.

Defects in the images include but are not limited to the items checked:

☐ BLACK BORDERS

☐ IMAGE CUT OFF AT TOP, BOTTOM OR SIDES

☐ FADED TEXT OR DRAWING

☒ BLURRED OR ILLEGIBLE TEXT OR DRAWING

☐ SKEWED/SLANTED IMAGES

☐ COLOR OR BLACK AND WHITE PHOTOGRAPHS

☐ GRAY SCALE DOCUMENTS

☐ LINES OR MARKS ON ORIGINAL DOCUMENT

☐ REFERENCE(S) OR EXHIBIT(S) SUBMITTED ARE POOR QUALITY

☐ OTHER: _____

IMAGES ARE BEST AVAILABLE COPY.

As rescanning these documents will not correct the image problems checked, please do not report these problems to the IFW Image Problem Mailbox.